



GP 1644

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BY: Monica M. Fancell DATE: October 23, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of Peter Watts et al

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Conf. No.: 9982

: Group Art Unit: 1644

NOV 01 2002

Appln. No.: 09/848,600

: Examiner: Phillip Gambel

TECH CENTER 1600/29

Filed: May 3, 2001

Title: DRUG DELIVERY
COMPOSITION FOR THE
NASAL ADMINISTRATION
OF ANTIVIRAL AGENTS

: Attorney Docket
: No.: 10774-23U1

**REVOCATION AND APPOINTMENT OF ATTORNEY BY ASSIGNEE AND
STATEMENT UNDER 37 C.F.R. §3.73(b)**

The undersigned states that she is authorized to sign the document on behalf of the assignee of the above application, that the evidentiary documents relating to the assignment of the above application have been reviewed, and she certifies that, to the best of assignee's knowledge and belief, title to the above application is in assignee by virtue of assignments in parent application number 08/776,470, now abandoned from the inventors to Danbiosyst UK Limited, recorded in the U.S. Patent and Trademark Office on May 11, 1998 at Reel 9185, Frame 0391 and from Danbiosyst UK Limited to West Pharmaceutical Services Drug Delivery & Clinical Research Centre Limited, recorded in the U.S. Patent and Trademark Office on February 1, 2000 at Reel 010567, Frames 0980. Copies of the assignments in the chain of title are attached.

West Pharmaceutical Services Drug Delivery & Clinical Research Centre Limited,
assignee of the entire right, title and interest of the above application, by its undersigned

representative, hereby revokes all previous Powers of Attorney and appoints the registered attorneys and agents associated with Customer No. 000570, Akin, Gump, Strauss, Hauer & Feld, L.L.P., as its attorneys or agents with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please address all correspondence to **Customer No. 000570, namely, AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.**, One Commerce Square, 2005 Market Street, Suite 2200, Philadelphia, Pennsylvania 19103. Please direct all communications and telephone calls to **William W. Schwarze** at 215-965-1270 (telephone) or 215-965-1210 (facsimile).

Respectfully submitted,
West Pharmaceutical Services Drug Delivery & Clinical
Research Centre Limited

16 Oct 02

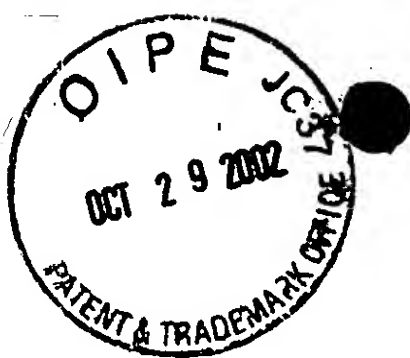
Date

By:

J. Graver

Jane Graver

Company Secretary and Director of Administration



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Washington, D.C. 20231

JULY 24, 1998

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ARNALL GOLDEN & GREGORY, LLP
PATREA L. PABST
2800 ONE ATLANTIC CENTER
1201 WEST PEACHTREE STREET
ATLANTA, GA 30309-3450



100720802A

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RECORDATION DATE: 05/11/1998

REEL/FRAME: 9185/0391
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
WATTS, PETER

DOC DATE: 03/13/1997

ASSIGNOR:
ILLUM, LISBETH

DOC DATE: 03/13/1997

ASSIGNEE:
DANIBOSYST UK LIMITED
ALBERT EINSTEIN CENTRE
HIGHFIELDS SCIENCE PARK
NOTTINGHAM, UNITED KINGDOM NG7 2TN

SERIAL NUMBER: 08776470
PATENT NUMBER:

FILING DATE: 03/28/1997
ISSUE DATE:

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JUL 31 1998

PATENT DEPT.

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BY JSH
DATE 7-31-98

9185/Q391 PAGE 2

TONYA LEE, EXAMINER
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FORM PTO-1619A

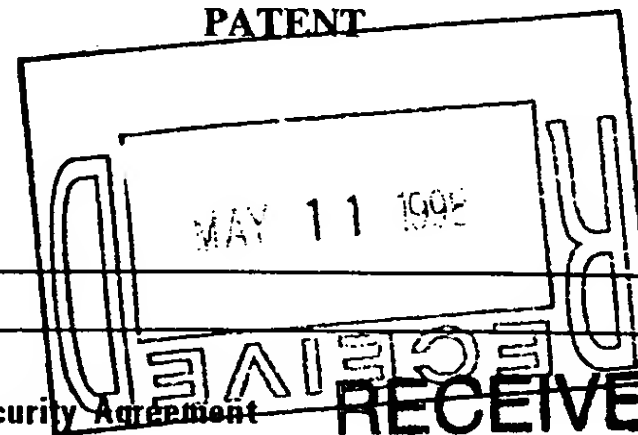
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OMB 0651-0027

05-28-1998



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Patent and Trademark Office
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Submission Type

☐ New☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

Reel #

Frame #

☒ Corrective Document

Reel # 8970

Frame #

0968

Conveyance Type

☒ Assignment☐ License☐ Merger☐ Security Agreement☐ Change of Name☐ Other

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☐ Departmental File☐ Secret File

NOV 01 2002

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Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Watts, Peter

Name (line 2)

Execution Date
Month Day Year

03131997

Second Party

Name (line 1) Illum, Lisbeth

Name (line 2)

Execution Date

03131997

Receiving Party

☐ Mark if additional names of conveying parties attached

Name (line 1) Danbiosyst UK Limited

Name (line 2) a corporation of the England

Address (line 1) Albert Einstein Centre

Address (line 2) Highfields Science Park

Address (line 3) Nottingham

United Kingdom

NG7 2TN

City

State/Country

Zip Code

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Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

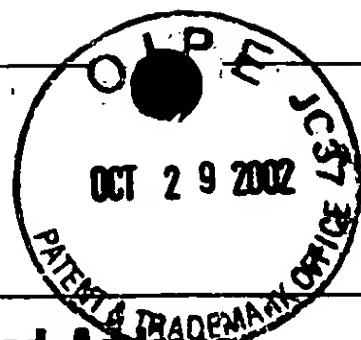
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WC 111 20260-38

05/22/1998 WATKINS 01000104 08776470 40E
01 FC:581



Correspondent Name and Address

Area Code and Telephone Number

(404)873-8794

Name Patrea L. Pabst

Address (line 1) Arnall Golden & Gregory, LLP

Address (line 2) 2800 One Atlantic Center

Address (line 3) 1201 West Peachtree Street

Address (line 4) Atlanta, GA 30309-3450

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Application Number(s) or Patent Number(s)

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Patent Number(s)

08776470

Month Day Year

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application
Number has not been assigned.

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Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:

Enclosed

X

Deposit Account

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

01-2507

Authorization to charge additional fees:

Yes

X

No

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Patrea L. Pabst, Esq.

Name of Person Signing

Signature

May 8, 1998

Date

ASSIGNMENT

We, Peter Watts of Flat 2, 47 Highfield Road, West Bridgford, Nottingham NG2 6DR and Lisbeth Illum of 19 Cavendish Crescent North, The Park, Nottingham NG7 1BA in consideration of One Dollar and other valuable consideration paid to us by Danbiosyst UK Limited a corporation of the England, having its principal place of business Albert Einstein Centre, Highfields Science Park, Nottingham, NG7 2TN, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said Danbiosyst UK Limited its successors and assigns, the entire interest for the United States of America and all foreign countries including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in Controlled release drug delivery described in an application executed by one of even date herewith and about to be filed Serial No. 08/776,470, filed on 24 January 1997 in the United States Patent and Trademark Office, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuing, reissue or other applications based in whole or in part thereon. And I agree, for myself and my executors and administrators, with said corporation and its successors and assigns but at its or their expense and charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

And I do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand and seal this 13 day of March 1997

P. Watts

(Peter Watts)

[Signature]

(Lisbeth Illum)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants: Peter Watts and Lisbeth Illum

Serial No.: 08/776,470

Art Unit: 1642

Filed: March 28, 1997

Examiner: Not yet assigned

For: *DRUG DELIVERY COMPOSITION FOR THE NASAL
ADMINISTRATION OF ANTIVIRAL AGENTS*

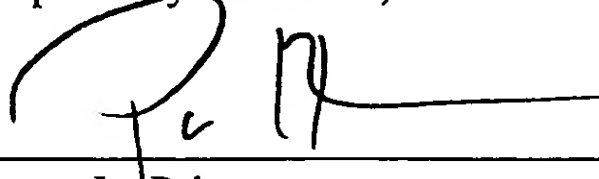
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Office of Public Record
Crystal Gateway 4, Room 335
Washington, D.C. 20231

**REQUEST FOR A CORRECTED
NOTICE OF RECORDATION OF ASSIGNMENT**

Sir:

Applicants respectfully request issuance of a corrected Notice of Recordation of Assignment to show the patent application as U.S. Serial Number 08/776,470, filed March 28, 1997, not 08/776,480, filed January 23, 1997. To facilitate this request, the following items are enclosed: (1) A copy of the Notice of Recordation of Assignment received (with corrections noted in red) and Form PTO 1619A; (2) a corrected Form PTO-1619A; (3) a copy of the Assignment mailed on March 3, 1997; (4) the returned postcard, showing the U.S. application Serial Number as 08/776,470; (5) Form PCT/DO/EO/903, Notification of Acceptance of Application under 35 U.S.C. § 371, showing the filing date of the application as March 28, 1997; and (6) a check in the amount of \$40.00 for re-recordation of the assignment.

Respectfully submitted,



Patrea L. Pabst
Reg. No. 31,284

Date: May 8, 1998
ARNALL GOLDEN & GREGORY, LLP
2800 One Atlantic Center
1201 West Peachtree Street
Atlanta, Georgia 30309-3450
404/873-8794
404/873-8795 (fax)



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ASSISTANT SECRETARY AND COMMISSIONER
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APRIL 10, 1998

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ARNALL GOLDEN & GREGORY
PATREA L. PABST, ESQ.
2800 ONE ATLANTIC CENTER
1201 W. PEACHTREE STREET
ATLANTA, GA 30309-3400



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RECORDATION DATE: 03/28/1997

REEL/FRAME: 8970/0968
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
WATTS, PETER

DOC DATE: 03/13/1997

ASSIGNOR:
ILLUM, LISBETH

DOC DATE: 03/13/1997

ASSIGNEE:
DANBIOSYST UK LIMITED
ALBERT EINSTEIN CENTRE, HIGHFIELDS SCIENCE PARK
NOTTINGHAM, ENGLAND NG7 2TN

SERIAL NUMBER: ~~08776480~~ 08776470
PATENT NUMBER:

FILING DATE: ~~01/23/1997~~ 03/28/97
ISSUE DATE:

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ASSIGNMENT DIVISION
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PATENT DEPT.

RECORDED FOR WO 111
JSH
DATE 4-21-98

The "Received" stamp of the Patent Office imprinted hereon acknowledges the filing of: **08/776470**

Applicant: Peter Watts & Lisbeth Illum

Serial & Docket No. DAN101

Filed: 24 January 1997 Express Mail #EM470185568US

Papers Submitted:

Express Mail Transmittal Letter for Patent Application and Certificate of Mailing by Express Mail Label #EM470185568US (in duplicate), Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (in duplicate), unexecuted Combined Declaration, Preliminary Amendment, copy of International Application PCT/GB95/01735, check in the amount of \$520.00

Date: 24 January 1997

42 Rec'd PCT/PTO 24 JAN 1997

By: Patrea L. Pabst, Reg. No. 31,284



UNITED STATES DEPARTMENT OF COMMERCE
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ARNALL GOLDEN & GREGORY, LLP
PATREA L. PABST, ESQ.
2800 ONE ATLANTIC CENTER
1201 WEST PEACHTREE STREET
ATLANTA, GA 30309-3450



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RECORDATION DATE: 02/01/2000

REEL/FRAME: 010567/0980
NUMBER OF PAGES: 5

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
DANBIOSYST UK LIMITED

DOC DATE: 03/04/1999

ASSIGNEE:
WEST PHARMACEUTICAL SERVICES DRUG
DELIVERY & CLINICAL RESEARCH
CENTRE LIMITED
ALBERT EINSTEIN CENTRE, NOTTINGHAM
SCIENCE AND TECHNOLOGY PARK
UNIVERSITY BOULEVARD
NOTTINGHAM, ENGLAND NG7 2TN

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SERIAL NUMBER: 08234723
PATENT NUMBER:

FILING DATE: 04/28/1994
ISSUE DATE:

SERIAL NUMBER: 08765347
PATENT NUMBER:

FILING DATE: 02/10/1997
ISSUE DATE:

SERIAL NUMBER: 08776470
PATENT NUMBER:

FILING DATE: 03/28/1997
ISSUE DATE:

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SERIAL NUMBER: 08983156
PATENT NUMBER: 6001395

FILING DATE: 03/30/1998
ISSUE DATE: 12/14/1999

SERIAL NUMBER: 09000039
PATENT NUMBER:

FILING DATE: 03/30/1998
ISSUE DATE:

SERIAL NUMBER: 09011306
PATENT NUMBER:

FILING DATE: 03/30/1998
ISSUE DATE:

SERIAL NUMBER: 09059646
PATENT NUMBER:

FILING DATE: 04/13/1998
ISSUE DATE:

SERIAL NUMBER: 09088185
PATENT NUMBER:

FILING DATE: 06/01/1998
ISSUE DATE:

SERIAL NUMBER: 09094959
PATENT NUMBER: 5928669

FILING DATE: 06/15/1998
ISSUE DATE: 07/27/1999

SERIAL NUMBER: 09101254
PATENT NUMBER:

FILING DATE: 08/03/1998
ISSUE DATE:

SERIAL NUMBER: 09155235
PATENT NUMBER:

FILING DATE: 10/30/1998
ISSUE DATE:

SERIAL NUMBER: 09214527
PATENT NUMBER:

FILING DATE: 04/07/1999
ISSUE DATE:

SERIAL NUMBER: 09214561
PATENT NUMBER:

FILING DATE: 04/07/1999
ISSUE DATE:

SERIAL NUMBER: 09214580
PATENT NUMBER:

FILING DATE: 03/30/1999
ISSUE DATE:

SERIAL NUMBER: 09269903
PATENT NUMBER:

FILING DATE: 05/06/1999
ISSUE DATE:

SERIAL NUMBER: 07004189
PATENT NUMBER: 4904479

FILING DATE: 01/15/1987
ISSUE DATE: 02/27/1990

SERIAL NUMBER: 07469443
PATENT NUMBER: 5204108

FILING DATE: 04/09/1990
ISSUE DATE: 04/20/1993

SERIAL NUMBER: 08167611
PATENT NUMBER: 5554388

FILING DATE: 12/14/1993
ISSUE DATE: 09/10/1996

SERIAL NUMBER: 08256431
PATENT NUMBER: 5629011

FILING DATE: 07/12/1994
ISSUE DATE: 05/13/1997

SERIAL NUMBER: 08190022
PATENT NUMBER: 5648095

FILING DATE: 07/08/1994
ISSUE DATE: 07/15/1997

SERIAL NUMBER: 08412094
PATENT NUMBER: 5690954

FILING DATE: 03/28/1995
ISSUE DATE: 11/25/1997

SERIAL NUMBER: 08359937
PATENT NUMBER: 5707644

FILING DATE: 12/20/1994
ISSUE DATE: 01/13/1998

SERIAL NUMBER: 08260611
PATENT NUMBER: 5725871

FILING DATE: 06/15/1994
ISSUE DATE: 03/10/1998

SERIAL NUMBER: 08576877
PATENT NUMBER: 5744166

FILING DATE: 12/21/1995
ISSUE DATE: 04/28/1998

SERIAL NUMBER: 08374671
PATENT NUMBER: 5792475

FILING DATE: 04/14/1995
ISSUE DATE: 08/11/1998

SERIAL NUMBER: 08877273
PATENT NUMBER: 5804212

FILING DATE: 06/17/1997
ISSUE DATE: 09/08/1998

SERIAL NUMBER: 08809158
PATENT NUMBER: 5840341

FILING DATE: 04/21/1997
ISSUE DATE: 11/24/1998

SERIAL NUMBER: 08899976
PATENT NUMBER: 5863554

FILING DATE: 07/24/1997
ISSUE DATE: 01/26/1999

SERIAL NUMBER: 08750738
PATENT NUMBER: 5869103

FILING DATE: 04/04/1997
ISSUE DATE: 02/09/1999

SERIAL NUMBER: 09094959
PATENT NUMBER: 5928669

FILING DATE: 06/15/1998
ISSUE DATE: 07/27/1999

SERIAL NUMBER: 08553401
PATENT NUMBER: 5935604

FILING DATE: 07/01/1996
ISSUE DATE: 08/10/1999

DIANE RUSSELE, PARALEGAL
ASSIGNMENT DIVISION
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03-02-2000

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Name (line 1) Danbiosyst UK Limited

03041999

Name (line 2)

Second Party

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) West Pharmaceutical Services Drug Delivery & Clinical Research Centre Limited

Name (line 2)

Address (line 1) Albert Einstein Centre, Nottingham Science and Technology Park

Address (line 2) University Boulevard

Address (line 3) Nottingham

England

NG7 2TN

City

State/Country

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Correspondent Name and Address Area Code and Telephone Number

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Patent Number(s)

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Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT PCT PCT

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Number of Properties Enter the total number of properties involved. #

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$

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Enclosed ☒ Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: #

Authorization to charge additional fees: Yes ☒ No ☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Kevin W. King

Name of Person Signing

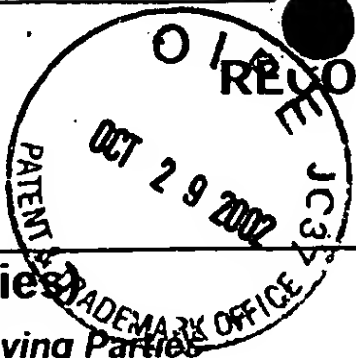
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Signature

18 JAN. 2000

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Name (line 1)

Name (line 2)

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Execution Date
Month Day Year

Receiving Party(ies)

Enter additional Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09101254

09269903

5792475

5928669

09155235

5804212

5935604

09214527

5840341

09214561

5863554

09214580

5869103



THE COMPANIES ACT 1985

Company No. 2360391

The Registrar of Companies for England and Wales hereby certifies that

**WEST PHARMACEUTICAL SERVICES DRUG DELIVERY &
CLINICAL RESEARCH CENTRE LIMITED**

formerly called **DANBIOSYST UK LIMITED**

which name was changed by special resolution was incorporated under the
Companies Act 1985

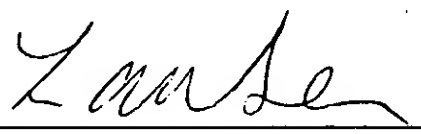
as a limited company on the **13th March 1989** and that the certificate of
incorporation altered to meet the circumstances of the case was issued on
the **4th March 1999**

Given at Companies House, Cardiff, the **2nd August 1999**

P. Easton

for the Registrar of Companies

I, Ian Mark Dee, of 10 Tennis Mews, The Park, Nottingham, England, a Chartered Patent Attorney, European Patent Attorney and Partner in the firm of Eric Potter Clarkson hereby certify that the attached is a true copy of the Certificate of Incorporation on change of Name as issued by the Registrar of Companies for England and Wales.

Signed: 

IAN MARK DEE

Date: 22 September 1999

Place: Nottingham, England